

APPLICATION

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PHASE-LOCKED LOOP STRUCTURES  
WITH ENHANCED SIGNAL STABILITY

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## PHASE-LOCKED LOOP STRUCTURES WITH ENHANCED SIGNAL STABILITY

### BACKGROUND OF THE INVENTION

#### Field of the Invention

The present invention relates generally to phase-locked loop structures.

#### Description of the Related Art

Phase-locked loop structures are used in a wide variety of modern electronic systems (e.g., signal-conditioning systems, signal-generating systems and communication systems) that require stable signals whose frequencies can be easily selected (i.e., synthesized) and whose close-in spectrum approximates that of a stable reference oscillator (e.g., a crystal oscillator).

The phase-locked loop of these structures is generally completed around a voltage-controlled oscillator that generates an oscillator signal whose oscillator frequency varies in response to a control voltage. The frequency of this oscillator can be substantially greater than that of the reference oscillator and yet its close-in jitter (signal instability) will be controlled by the feedback loop to be a function of the low jitter of the stable reference oscillator. Because the jitter outside the bandwidth of the feedback loop remains that of the oscillator itself and because jitter generally reduces as oscillator frequency increases, the loop structure is often configured to facilitate higher oscillator frequencies.

The loop-reduced jitter will, however, be degraded by increases of the oscillator's gain (the ratio of oscillator frequency to control voltage).

The oscillator gain itself is a function of operating conditions (e.g., temperature and voltage supply differences) and, in production, will also vary between upper and lower process corners that are determined by a number of process variables.

5 Accordingly, conventional phase-locked loop structures generally compromise signal stability because they increase the oscillator gain sufficiently to insure that, under all operating and process variations, the phase-locked loop can drive the oscillator's frequency to where it is phase locked to the reference signal. That is, this locking insurance is gained at 10 the cost of degraded stability of the loop's output signal.

15 In order to maintain a desired loop bandwidth, the increased oscillator gain is generally offset by altering loop compensation elements. In particular, it is typically offset by increasing loop compensation capacitors which subtracts from circuit area which is always a limited resource in integrated-circuit realizations of phase-locked loops.

#### BRIEF SUMMARY OF THE INVENTION

20 The present invention is directed to phase-locked loop structures that facilitate enhanced stability of loop-generated signals.

25 These structures include an oscillator network, a feedback loop and a controller. The oscillator network generates a loop output signal with a frequency that varies in response to a control voltage and to a frequency-determining parameter, the feedback loop generates the control voltage in response to the loop output signal and a reference signal and the controller increments the frequency-determining parameter to maintain the control voltage within a predetermined control-voltage range.

30 These structures enhance signal stability by facilitating the use of low-gain oscillator structures and they simplify and shorten loop operations because the structures operate in a closed-loop condition at all times.

35 The novel features of the invention are set forth with particularity in the appended claims. The invention will be best understood from the following description when read in conjunction with the accompanying drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of a phase-locked loop system embodiment of the present invention;

5 FIG. 2 is a graph of frequency in an output oscillator of the system of FIG. 1 which illustrates locking method embodiments of the invention;

FIG. 3 is a block diagram of another phase-locked loop system embodiment of the present invention;

10 FIG. 4 is a diagram of a ring oscillator that can be used in the system of FIG. 3;

FIGS. 5A-5C are diagrams of inverters that can form the ring oscillator of FIG. 4; and

15 FIG. 6 is a graph of frequency in a voltage-controlled oscillator of the system of FIG. 3 which illustrates locking method embodiments of the invention;

## DETAILED DESCRIPTION OF THE INVENTION

20 Phase-locked loop structures of the invention facilitate the use of low-gain oscillator circuits to thereby enhance signal stability. These structures operate in a closed-loop state (i.e., they do not require opening of the loop) which simplifies and shortens loop operations. Structural embodiments of FIGS. 1 and 4 and operational processes of FIGS. 2 and 4 are described below in detail.

25 In particular, FIG. 1 illustrates a phase-locked loop system 20 that provides a loop output signal  $S_{out}$  at an output port 21 in response to the reference signal  $S_{ref}$  of a reference oscillator 22 at an input port 23. The system 20 includes a voltage-controlled oscillator (VCO) 24, a feedback loop 26 and a controller 28. The VCO 24 generates an oscillator signal  $S_{osc}$  whose frequency varies in response to a control voltage  $V_c$  and the feedback loop 26 generates the control voltage  $V_c$  in response to the phase difference between the reference signal  $S_{ref}$  and a loop feedback signal  $S_{fdbk}$ . The feedback loop 26 includes an output frequency divider 30 and a loop frequency divider 32 and further includes a phase detector 34, a charge pump 35 and a loop filter 36.

30 In operation of the feedback loop 26, the output frequency divider 24

has a frequency divisor X and provides the loop output signal  $S_{out}$  with an output frequency  $F_{out}$  at the output port 21 in response to the oscillator frequency  $F_{osc}$  of the oscillator signal of the VCO 24 (i.e.,  $F_{out} = (1/X)F_{osc}$ ). The loop frequency divider 32 has a frequency divisor N and generates the loop feedback signal  $S_{fdbk}$  with a feedback frequency  $F_{fdbk}$  in response to the output frequency  $F_{out}$  of the loop output signal  $S_{out}$  (i.e.,  $F_{fdbk} = (1/N)F_{out}$ ).

5 The phase detector 34 then generates an error signal  $S_{err}$  in response to the phase difference between the reference signal  $S_{ref}$  and the loop feedback signal  $S_{fdbk}$ . Finally, the charge pump 35 provides drive currents in response to the error signal  $S_{err}$  and the loop filter 36 generates the control voltage  $V_c$  in response to the drive currents.

10 The reference oscillator 22 can be chosen to select a particular reference signal  $S_{ref}$ . For example, the reference signal  $S_{ref}$  may be selected to provide a desired spacing between the channels of the 15 phase-locked loop system 20 when it is used as a synthesizer that generates a range of loop output signals  $S_{out}$ . Each channel is then generated with a corresponding selection of the divisor N of the loop frequency divider 32.

20 In further operation of the feedback loop 26, the divisor N can be initially selected to obtain a desired output frequency  $F_{out}$ . The controller 28 will then monitor the control voltage  $V_c$  via monitor path 37 and a comparator 41 that compares the control voltage to a predetermined control-voltage range  $V_{rng}$ . In response to the 25 comparator 41, the controller increments the divisor X of the output frequency divider (via divisor command path 38) to maintain the control voltage  $V_c$  within the predetermined control-voltage range.

30 This operation can be described with reference to the graph 40 of FIG. 2 which illustrates a plurality of exemplary tuning curves. These tuning curves plot the output oscillator frequency of an output oscillator 42 which is shown in FIG. 1 to be formed by a combination of the VCO 24 and the output frequency divider 30. In particular, the plot 43 shows output oscillator frequency as a function of the control voltage  $V_c$  over a predetermined control-voltage range.

35 An initial tuning curve 43 plots the output oscillator frequency for an initial selection of the divisor X of the output frequency divider 30.

5 The additional tuning curves 44-48 are plots of the output oscillator frequency as the divisor X is successively incremented (in this embodiment, increased) from its initial selection. As shown in FIG. 2, portions of each adjacent pair of the tuning curves overlap in the frequency domain so that the curves provide continuous coverage over a large segment of the output oscillator frequency (i.e., all portions of this segment can be generated with this set of frequency curves).

10 In FIG. 2, the tuning curves have a positive slope, are linear and the space between adjacent curves decreases with decrease in the output oscillator frequency. It is noted that these characteristics are exemplary, are chosen for descriptive purposes and other VCO/divider embodiments will exhibit different characteristics. The slope, for example, is a function of VCO design and may be negative in other VCO embodiments. Linearity is also a function of VCO design and tuning slopes generally 15 include some degree of nonlinearity. In addition, FIG. 2 does not necessarily show each tuning curve that would be exhibited as the divisor X is increased from its value for tuning curve 43. Some values of the divisor X, for example, may generate tuning curves spaced between those shown. These intermediate curves are not shown because those of FIG. 2 20 already provide continuous coverage over the desired frequency segment.

25 FIG. 2 illustrates an exemplary method of the invention in which the divisor N has previously been selected such that  $NF_{ref}$  is at the broken line 50. When the phase-locked loop system (20 in FIG. 1) is locked, the output oscillator frequency will therefore be positioned at the broken line 50.

30 In FIG. 2, it has been assumed that the divisor X has been initially selected to place the output oscillator frequency on the tuning curve 43 and further assumed that the controller (28 in FIG. 1) initially applies (via voltage insertion path 39 in FIG. 1) a mid-range control voltage (i.e., a control voltage in the approximate middle of the predetermined control-voltage range). This control voltage positions the present output oscillator frequency at the #1 circle of FIG. 2 (insertion of the control voltage may be facilitated by inserting a small isolation resistor between the monitor path 37 and the voltage insertion path 39).

35 The feedback loop (26 in FIG. 1) is then allowed to drive the output

oscillator frequency towards the frequency  $NF_{ref}$  where the output signal  $S_{out}$  would be locked to the reference signal  $S_{ref}$ . The loop drives the control voltage to the #2 circle which is at the limit of the predetermined control-voltage range. The controller senses this (e.g., with aid of a comparator) and increments the divisor X to place the output oscillator frequency on the tuning curve 44.

Again, the controller initially applies a mid-range control voltage which, positions the present output oscillator frequency at the #3 circle of FIG. 2. The loop then drives the control voltage to the #4 circle which is at the limit of the predetermined control-voltage range. Again, the controller senses this, increments the divisor X to place the output oscillator frequency on the tuning curve 45 and applies a mid-range control voltage which positions the current output oscillator frequency at the #5 circle.

The feedback loop is now able to drive the output oscillator frequency to the #6 circle where the loop locks so that the output oscillator frequency equals the frequency  $NF_{ref}$  of the broken line 50. In the exemplary process just described, the control voltage traveled along the control-voltage path 51 and, because of the transfer function of the phase comparator 34, the output signal  $S_{out}$  is now phase-coherent with the reference signal  $S_{ref}$ .

Once a tuning curve has been selected that crosses the  $NF_{ref}$  frequency, the feedback action of the loop will automatically drive the control voltage to lock the output oscillator 42 to the reference signal. As another operational example, assume that  $NF_{ref}$  is at the broken line 52 of FIG. 2 rather than at the broken line 50. In this case, the feedback action of the loop would have automatically driven the output oscillator frequency from the #5 circle to the #7 circle where the output oscillator frequency equals the frequency  $NF_{ref}$  of the broken line 52.

In the above processes, the controller (28 in FIG. 1) applied a mid-point of the control-voltage range as the VCO's control voltage subsequent to incrementing the divisor X. It is noted that this is exemplary and various other points of the control-voltage range could be applied in other embodiments.

In another exemplary method, the divisor X could have been initially selected to place the output oscillator frequency on the tuning

curve 48 of FIG. 2 and the controller (28 in FIG. 1) would then have initially applied a mid-range control voltage that would have positioned the present output oscillator frequency at the #8 circle. The feedback loop (26 in FIG. 1) would then have driven the output oscillator frequency to 5 the #9 circle which is at the limit of the predetermined control-voltage range.

The controller (28 in FIG. 1) would have sensed this, decreased the divisor X to place the output oscillator frequency on the tuning curve 47 10 and applied a mid-range control voltage to position the current output oscillator frequency at the #10 circle. This process would then have continued in similar manner until the feedback loop drove the output oscillator frequency to the #6 circle (alternatively, to the #7 circle) where the loop automatically locks.

The phase-locked loop system 20 of FIG. 1 facilitates the use of a 15 VCO whose gain has been reduced to thereby reduce jitter noise. Because of operating conditions and process-induced variations, this low-gain VCO would typically fail to successfully lock to the reference signal  $S_{ref}$ . The system 20, however, monitors the VCO control voltage and varies a 20 frequency-determining parameter to lock the low-gain VCO to the reference signal  $S_{ref}$ .

In FIG. 1, the parameter arrow 55 indicates that the frequency-determining parameter of the output oscillator 42 (combination of the VCO 24 and the output frequency divider 30) is the output frequency divider's divisor X which can be controlled by the 25 controller 28. Other embodiments of the invention are provided with other frequency-determining parameters.

For example, FIG. 3 illustrates a phase-locked loop system 60 that is similar to the phase-locked loop system 20 of FIG. 1 with like elements indicated by like reference numbers. The system 60, however, replaces 30 the output frequency divider (30 in FIG. 1) with different frequency-determining parameters. In particular, the parameter arrow 65 indicates that the system 60 employs VCO frequency-determining parameters such as inverters, capacitive loads, resistive loads and currents. A controller 68 controls at least one of these parameters via a 35 parameter command path 69.

An exemplary embodiment of the VCO 24 of FIG. 3 is the VCO 70

of FIG. 4 which is a ring oscillator that is formed by inverters 72 that are coupled in a ring. Switches 73 are provided so that additional inverters can be coupled into the ring (or so that inverters can be removed from the ring) in response to the parameter command path 69.

5 The ring is preferably formed of an odd number of inverters so that one inverter is always in a time-delay process of converting its output to a state that corresponds to the state of its input. Because the ring oscillator's frequency is thus a function of the time delay through each inverter, the controller 68 can select among exemplary tuning curves  
10 103-108 in FIG. 6 by selecting the current number of ring inverters with the switches 73. Adding inverters will generally cause the ring oscillator 70 to jump to the next lower tuning curve in the graph 100 of FIG. 6 which is similar to FIG. 2 but plots frequency of the VCO 24 of FIG. 3 rather than frequency of the output oscillator 42 of FIG. 1. FIG. 6 is  
15 similar to FIG. 2 (with like elements indicated by like reference numbers) but it replaces the tuning curves 43-48 of FIG. 2 with tuning curves 103-108.

FIGS. 5A-5C illustrate embodiments 80A-80C of an inverter 72 of FIG. 4. As shown, the inverters 80A-80C comprise a differential pair 82  
20 of transistors whose tail current is provided by a voltage-to-current converter 83 which responds to the control voltage  $V_c$  (from the loop filter 36 in FIG. 3). Control terminals (i.e., gates) of the differential pair form the inverter input 84 and current terminals (i.e., drains) form the inverter output 86. Loads are coupled to each of the current terminals of the  
25 differential pair in the form of parallel capacitors 88 and resistors 89.

The differential pair 82 steers the tail current between its current terminals in response to signals at the inverter input 84 (from a preceding inverter). The inverter's output 86 drives a succeeding inverter and will switch states after a time delay that is determined by the time  
30 constant of the capacitive and resistive load. The inverter's time delay (i.e., time before its output state corresponds to the state of its input) is thus a function of the capacitance and resistance in the loads and of the magnitude of the tail current that the voltage-to-current converter 83 provides in response to the control voltage  $V_c$ .

35 In the inverter 80A of FIG. 5A, a plurality of resistors 89 can be switched into the inverter by switches 90 that respond to the parameter

command path 69 from the controller (68 in FIG. 3). Accordingly, the controller can command the ring oscillator 70 of FIG. 4 to switch between the tuning curves 103-108 of the graph 100 of FIG. 6.

5 Removing resistors will generally cause the ring oscillator 70 to jump to the next higher tuning curve in FIG. 6. The frequency spacing between tuning curves will typically be more constant than that produced by increments of the divisor X of the output frequency divider (30 in FIG. 1) which generated unequal inter-curve spaces as seen in the graph 40 of FIG. 2.

10 In the inverter 80B of FIG. 5B, a plurality of capacitors 89 can be switched into the inverter by switches 90 that again respond to the parameter command path 69 from the controller. Accordingly, the controller can command the ring oscillator 70 of FIG. 4 to switch between the tuning curves 103-108 of the graph 100 of FIG. 6. Adding capacitors 15 will generally cause the ring oscillator 70 to jump to the next lower tuning curve in FIG. 6.

20 In the inverter 80C of FIG. 5C, the resistive and capacitive loads are fixed but a plurality of current sources 92 can be switched in parallel with the voltage-to-current converter 83 by switches 90 that again respond to the parameter command path 69 from the controller. The tail current of the differential pair 82 is thus altered which alters the charging time of the capacitive loads and, therefore, the inverter's time delay. The controller 68 of FIG. 3 can thereby command the ring oscillator 70 of FIG. 4 to switch between the tuning curves 103-108 of the graph 100 of FIG. 6. Adding current sources will generally cause the ring oscillator 70 to jump to the next higher tuning curve in FIG. 6.

25 When the VCO 24 of FIG. 3 is formed by the ring oscillator 70 of FIG. 4 and it comprises any of the inverters 80A-80C of FIGS. 5A-5C, the VCO can therefore be commanded by the controller 68 of FIG. 3 to travel along exemplary control-voltage paths 51 and 53 as previously described with reference to FIG. 2.

30 Another VCO embodiment includes a resonant circuit that provides feedback to a transistor amplifier wherein the resonant circuit is formed with capacitance and inductance. With this VCO embodiment, the controller 68 increments (via divisor command path 69) the capacitance 35

independently of the control voltage  $V_c$  to thereby generate the tuning curves 83-88 of FIG. 4.

It is noted that the frequency of the output signal  $S_{out}$  of the phase-locked loop system 60 of FIG. 3 can be subsequently altered by 5 processing it with the output frequency divider 30 of FIG. 2. In this system embodiment, the system 60 is augmented by positioning the output frequency divider 30 in FIG. 2 to process the output signal  $S_{out}$  after its generation by the system 60.

10 The frequency dividers of the invention can be realized with various conventional divider structures (e.g., dual-modulus prescalars).

15 Although the inverter transistors of FIGS. 5A-5C have been shown as complementary metal-oxide-semiconductor (MOS) transistors, other embodiments of the invention may be formed by substituting various other transistor structures. This substitution is exemplified in FIG. 5B where a bipolar junction transistor 120 is substituted for a transistor of the differential pair 82 as indicated by substitution arrow 122.

20 The embodiments of the invention described herein are exemplary and numerous modifications, variations and rearrangements can be readily envisioned to achieve substantially equivalent results, all of which are intended to be embraced within the spirit and scope of the invention as defined in the appended claims.

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